COMMISSIONER FOR PATENTS Washington, D.C. 20231

**SERIAL NO. 09/817,84** 

IBM DOCKET NO. AUS920010280US

DATE: November 15, 2004

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Group Art Unit: 2131** 

**Dutta Intellectual Property Law Department** 

Serial No.: 09/817,844 **International Business** Filed: March 26, 2001 **Machines Corporation** 

Title: System and Method for Binding and Intellectual Property Law Dept.

Unbinding Ticket Items with User-11400 Burnet Road Negotiated Security Features Austin, Texas 78758

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

This Supplemental Information Disclosure Statement is believed to be timely pursuant to 37 CFR § 1.97(b), therefore no additional fees are believed to be necessary. If, however, additional fees are deemed to be necessary, the Commissioner is hereby authorized to charge such fees to IBM's deposit account No. 09-0447.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

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01 FC:1806 180.00 DA Respectfully submitted,

Leslie A. Van Leeuwen

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In Place of FORM PTO-1449 (Modified)

Serial No. 09/817,844

LIST OF PATENTS AND PUBLICATIONS FOR TARPLICANT'S INFORMATION DISCLOSURE

Applicant: <u>Dutta</u> Filing Date: 3/26/2001

Group: 2131

EMENT Atty. Docket No. AUS920010280US1 **U. S. PATENT DOCUMENTS** Document Classification Filing Date if <u>Number</u> **Date** Name Class / Subclass **Appropriate** AA 6,609,659 08-2003 Sehr, Richard P. 235 384 AB 6,779,720 08-2004 Lewis, Jon Karl 382 118 AC AD ΑE AF AG AH ΑI AJ AK AL**FOREIGN PATENT DOCUMENTS** Examiner Document Translation Initial Number <u>Date</u> Country Classification Yes No AM AN A<sub>0</sub> AP Examiner OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) **Initial** AQ Chan, Siu-cheung Charles, "Electronic Smart Passport/Visa," August 17, 1997, pp. 1-11. AR AS AT ΑU Examiner: Date Considered: EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## **SERIAL NO. 09/817,844**

IBM DOCKET NO. AUS920010280US1

DATE: November 15, 2004

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Contribution for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Leslie A. Van Leeuwen

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Dutta

For: System and Method for Binding and Unbinding Ticket Items with User-Negotiated

**Security Features** 

Enclosed are:

X\_ Supplemental Information Disclosure Statement;

X Modified form 1449 listing 3 references;

<u>X</u> copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

This Supplemental IDS is being filed pursuant to CFR § 1.197(b), therefore no fee as set forth in § 1.17(p) is believed to be due. If, however, more than three months have elapsed since the filing date and a first Office action on the merits has already been mailed, then please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. A duplicate copy of this sheet is enclosed.

\_X\_ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.

\_X\_ Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

Βv

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